

A G Contract No KR01-1635TRN  
ADOT ECS File: JPA 01-106  
Project: STP-000-6(149)P  
TRACS: 0940 MA PHX SR167 01C  
Section: Highland Avenue  
AAR/DOT No. 025-492-E  
City Clerk No.: 104984

INTERGOVERNMENTAL AGREEMENT  
BETWEEN  
THE STATE OF ARIZONA  
AND  
CITY OF PHOENIX

THIS AGREEMENT is entered into 22 January, 2003, pursuant to Arizona Revised Statutes, Sections 11-951 through 11-954, as amended, between the STATE OF ARIZONA, acting by and through its DEPARTMENT OF TRANSPORTATION (the "State") and the CITY OF PHOENIX acting by and through its CITY MANAGER, (the "City").

**I. RECITALS**

1 The State is empowered by Arizona Revised Statutes Section 28-401 and 28-334 to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has delegated to the undersigned the authority to execute this agreement on behalf of the State

2 The City is empowered by City Charter, Chapter II, Section 2.(i) to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has authorized the undersigned to execute this agreement on behalf of the City.

3 The Arizona Corporation Commission is empowered by Arizona Revised Statutes Section 40-337 to participate in the funding of this project, and has authorized funds for this project if applicable

4 Congress has authorized appropriations for the erection of automatic warning signals, automatic gate arms, plank crossings, pavement markings, and other appurtenances

5 Such project within the boundary of the City has been selected by the City; the field survey of the project has been completed; and the plans, estimates and specifications have been prepared and, as required, submitted to the Federal Highway Administration ("FHWA") for its approval

6 The only interest of the State in the project is in the acquisition of federal funds for the use and benefit of the City by reason of federal law and regulations under which funds for the project are authorized to be expended

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NO. 25752  
Filed with the **Secretary of State**  
Date Filed: 01/22/2003

Janice K. Brewer  
Secretary of State

By: Timothy D. Greenewald

7 The work embraced in this agreement and the estimated cost are as follows: Upgrade Railroad Crossing (Highland Avenue)

Preliminary and Construction Engineering	\$ 6,000 00
Install Flashers and Gates (by railroad forces)	\$156,235 00
Total Protection Work	=\$162,235 00
Furnish and Install a Concrete Crossing Surface (By Railroad forces)	\$ 71,767 00
Total Project	\$234,002 00
Federal Aid Funds @ 94.3% of \$234,002 00	=\$220,664 00
AZ Corp. Comm. Funds @ 5.7% of \$162,235 00	=\$ 9,247 00
City of Phoenix Funds @ 5.7% of \$71,767 00	=\$ 4,091 00

THEREFORE, in consideration of the mutual covenants expressed herein, it is agreed as follows:

## **II. SCOPE OF WORK**

1. The State shall submit a program containing the aforementioned project to FHWA with the recommendation that it be approved for construction.

a. If such project is approved for construction by FHWA and the funds are available for construction of the project, the State with the aid and consent of FHWA will authorize the Railroad Company to proceed with the work covered by the State-Railroad Agreement and will request the maximum federal funds available.

b. Should some unforeseen conditions or circumstances increase the cost of said work required by a change in the extent or scope of the work called for in this agreement, the State shall not be obligated to incur any expenditure on behalf of and for the City in excess of the amount of the City's deposit unless and until so authorized in writing by the City.

2. The City shall acquire the necessary right-of-way and hereby certifies that all necessary rights-of-way have been or will be acquired.

3. Once acquired, the City shall remove from the City right-of-way all obstructions or unauthorized encroachments of whatever nature, either above or below the surface of the roadway, and hereby certifies that all obstructions and encroachments have been or will be removed therefrom.

4. The City shall not permit or allow any encroachments, except those authorized by permit, upon, or private use of, the City right of way. In the event of any unauthorized encroachment or improper use, the City shall take all necessary steps to remove or prevent any such encroachment or use.

5. Upon completion of construction, the City shall provide project maintenance within City rights-of-way (exclusive of maintenance by the Railroad Company of its facilities), which may include, but is not limited to, traffic signals, signs, islands, curbs and markings necessary for the purpose of regulating, warning and guiding traffic.

6. The City shall mark and sign railway-highway grade crossings in accordance with the requirements of the current edition of the Manual on Uniform Traffic Control Devices for Streets and Highways, within 45 days after the railroad has completed its work; failing that, the State may proceed with the marking and signing thereof, the cost of which shall be borne by the City.

7. By such regulation as it may by ordinance provide, the City shall regulate parking and not permit vehicles to be left on the street in any manner other than at and parallel with the curb and to restrict parking so as to prevent conflicts with moving traffic.

### **III. MISCELLANEOUS PROVISIONS**

1. The State assumes no financial obligation or liability under this agreement, nor for any resulting construction project. The City, in regard to the City's relationship with the State only, assumes full responsibility for the design, plans, specifications, reports, the engineering in connection therewith and the construction of the improvements contemplated, cost over-runs and construction claims. Such assumption of the responsibility by the City for the benefit of the State in no way acts as a waiver by the City for the benefit of the railroad company or any responsibility the railroad company has in the design, plans, specifications, reports, the engineering in connection therewith and the construction of the improvements contemplated, cost overruns and construction claims. The City shall require its contractors to name the State as an additional insured in the contractor's insurance policies. The City shall also require its contractors to name the State as an additional indemnitee in the City's contracts with its contractors. It is understood and agreed that the State's participation is confined solely to securing federal aid; that any damages arising from carrying out, in any respect, the terms of this agreement or any modification thereof, shall be solely the liability of the City and that to the extent permitted by law, the City hereby agrees to save and hold harmless and indemnify from loss the State, any of its departments, agencies, officers or employees from any and all cost and/or damage incurred by any of the above and from any other damage to any person or property whatsoever, which is caused by any activity, condition, misrepresentation, directives, instruction or event arising out of the performance or nonperformance of any provisions of this agreement by the City, any of its agents, officers and employees, or any of its independent contractors. Costs incurred by the State, any of its departments, agencies, officers or employees shall include in the event of any action, court costs, expenses of litigation and attorneys' fees.

2. The cost of the design, construction and construction engineering work covered by this agreement is to be borne by FHWA, Arizona Corporation Commission and the City, each in the proportion prescribed or as fixed and determined by FHWA as stipulated in this agreement. Therefore, in the event that funds to match federal funds are not made available by the Corporation Commission, the City agrees to furnish and provide the State with City funds in an amount equal to the difference between the total cost of the work provided for in this agreement and the amount of federal aid received, if applicable.

3. This agreement shall remain in force and effect until completion of the work herein embraced; provided, however, that any provisions in this agreement for maintenance shall be perpetual, unless assumed by another competent governmental entity.

4. This agreement shall become effective upon filing with the Secretary of State.

5. This agreement may be cancelled in accordance with Arizona Revised Statutes Section 38-511.

6. The provisions of Arizona Revised Statutes Section 35-214 are applicable to this contract.

7. In the event of any controversy which may arise out of this agreement, the parties hereto agree to abide by required arbitration as is set forth for public works contracts in Arizona Revised Statutes Section 12-1518.

8. All notices or demands upon any party to this agreement shall be in writing and shall be delivered in person or sent by mail addressed as follows:

Arizona Department of Transportation  
Joint Project Administration  
205 South 17 Avenue, Mail Drop 616E  
Phoenix, AZ 85007

City of Phoenix  
Street Transportation Department  
200 West Washington Street, 5th Floor  
Phoenix, AZ 85003-1611

9. Attached hereto and incorporated herein is the written determination of each party's legal counsel that the parties are authorized under the laws of this state to enter into this agreement and that the agreement is in proper form.

IN WITNESS WHEREOF, the parties have executed this agreement the day and year first above written.

**CITY OF PHOENIX**, a Municipal  
Corporation, Frank Fairbanks, City Manager

**STATE OF ARIZONA**  
Department of Transportation

By Thomas E. Callow  
THOMAS E. CALLOW, P E, Director  
Street Transportation Department

By Susan Tellez  
SUSAN TELLEZ  
Contract Administrator

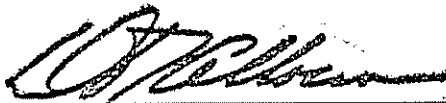
ATTEST.

By Vicky Miel  
VICKY MIEL  
City Clerk

RESOLUTION

BE IT RESOLVED on this 24<sup>th</sup> day of August 2001, that I, the undersigned MARY E. PETERS, as Director of the Arizona Department of Transportation, have determined that it is in the best interests of the State of Arizona that the Department of Transportation, acting by and through the Intermodal Transportation Division, to enter into an agreement with the City of Phoenix, for the purpose of defining responsibilities for the acquisition of federal funds, upgrading the railroad crossing at Highland Avenue, Project # STP-000-6(149)P

Therefore, authorization is hereby granted to draft said agreement which, upon completion, shall be submitted to the Contract Administrator for approval and execution.

A handwritten signature in black ink, appearing to read 'D. Allocco', is written over a horizontal line.

DAVID R. ALLOCCO, P.E.  
Assistant State Engineer  
Engineering Technical Group

for MARY E. PETERS, Director

APPROVAL OF THE CITY OF PHOENIX ATTORNEY

I have reviewed the above referenced proposed intergovernmental agreement, between the DEPARTMENT OF TRANSPORTATION, INTERMODAL TRANSPORTATION DIVISION, and the CITY OF PHOENIX and declare this agreement to be in proper form and within the powers and authority granted to the City under the laws of the State of Arizona. No opinion is expressed as to the authority of the State to enter into this agreement.

DATED this \_\_\_\_\_ day of \_\_\_\_\_,

ACTING  
PLB

William D. Boock  
City Attorney

**CITY OF PHOENIX  
REQUEST FOR COUNCIL ACTION**

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**Complete this form per O.P. 1.906 and A.R. 4.11.**

**Refer to the City Council Agenda Process Reference Guide for Assistance**

<b>ACTION REQUESTED</b>	Formal Action:	OR	Legal Document:
	Bid Award <input type="checkbox"/>		Ordinance <input type="checkbox"/>
	License Application <input type="checkbox"/>		Resolution <input checked="" type="checkbox"/>
	Public Hearing <input type="checkbox"/>		Emergency Clause? <input type="checkbox"/>
	Other <input type="checkbox"/>		(for use only w/ord. or res. requests)

<b>IMPACTED DISTRICT(S)</b>	DISTRICT 5/NEW DISTRICT 5	<b>ADDITIONAL BACKUP MATERIAL SENT UNDER SEPARATE COVER?</b>	<input type="checkbox"/>
<b>SUBJECT</b>	AGREEMENT BETWEEN ADOT & CITY OF PHOENIX - IMPROVEMENTS TO RR CROSSING AT HIGHLAND AVE. EAST OF 43RD AVE.		

<b>REQUESTED AGENDA DATE</b>	12/04/02	<b>PREPARED BY</b>	Name	Michael Negrete
			Department	Street Transportation
			Phone	495-2050

<b>APPROVALS</b>	Division Head:	Pete Johnson, P.E.	If prepared for another department: Department Name:
	Department Head:	Thomas E. Callow, P.E.	
			Approval:

<b>BID AWARD INFORMATION</b>	Bid Surety Required? <input type="checkbox"/>	Performance Surety Required <input type="checkbox"/>
	Submitted by Low Bidder? <input type="checkbox"/>	Amount? _____
	Contract Required? <input type="checkbox"/>	Requisition No. _____

<b>CONTRACT INFORMATION</b>	Contract Amendment? <input type="checkbox"/>
	If Yes, Current Contract No. _____
	Approved by: Ordinance <input type="checkbox"/> Resolution <input type="checkbox"/> Formal Action <input type="checkbox"/> on Date: _____

<b>BUDGET INFORMATION</b>	\$ 4,091.00	To Be Encumbered? <input checked="" type="checkbox"/>
	Source of Funds: AHUR	Fiscal Year? 2002/2003
	Fund Center(s) (SAP-FM): ST85100205	
	Commitment Item(s) (SAP-FM): 510135	
	Availability of Funds Approval: Lauri L. Wingenroth	

<b>CITY MANAGER'S OFFICE</b>	<b>Approved by</b> Jack Tevlin, Deputy City Manager	CM Control No. 35
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<b>CITY CLERK DEPARTMENT</b>	Council Action Taken: Adopted		
	Ordinance Number:	RCA No.	40363
	Resolution Number: 19883	Contract No.	104984
	Comments:	Meeting Date	12/4/2002
		Item No.	58

**ITEM****DISTRICT 5/NEW  
DISTRICT 5****AGREEMENT BETWEEN ADOT  
& CITY OF PHOENIX -  
IMPROVEMENTS TO RR  
CROSSING AT HIGHLAND  
AVE. EAST OF 43RD AVE.**

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Request to authorize the City Manager to enter into an agreement with the State of Arizona through its Department of Transportation to furnish and install flashing lights and gates and a concrete surface crossing at the railroad crossing on Highland Avenue east of 43<sup>rd</sup> Avenue. The work will be done by railroad forces using Federal Funds, Arizona Corporation Commission Funds, and Arizona Highway User Revenue. The total project cost is \$234,002.00. The funding breakdown is as follows: Federal Aid Funds, \$220,664.00; Arizona Corporation Commission Funds, \$9,247.00; and City of Phoenix Funds, \$4,091.00.

The City of Phoenix will provide all right-of-way for this project at no cost to the State of Arizona. The City of Phoenix will also remove all obstructions and encroachments from the proposed right-of-way at no cost to the State of Arizona. Current plans indicate that no additional right-of-way is required for this project.

Upon completion of construction, the City of Phoenix shall provide for maintenance of items such as traffic signals, signs, islands, curbs, and markings necessary for the purpose of regulating, warning, and guiding traffic. The City of Phoenix shall also mark and sign railroad-highway grade crossings in accordance with the requirements of the current edition of the *Manual on Uniform Traffic Control Devices for Streets and Highways*.

**CITIZEN NOTIFICATION**

There has been no public notification or meetings to date regarding this project.

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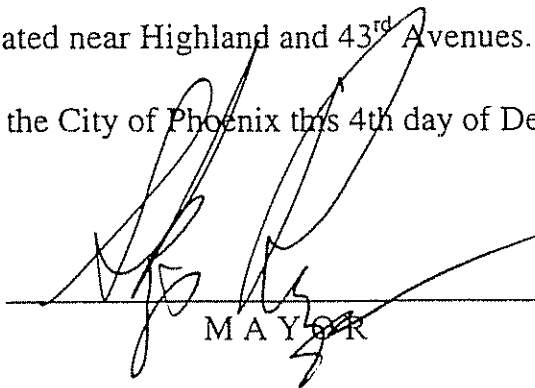
RESOLUTION NO. 19883

A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO AN INTERGOVERNMENTAL AGREEMENT WITH THE STATE OF ARIZONA THROUGH THE ARIZONA DEPARTMENT OF TRANSPORTATION FOR IMPROVEMENTS TO THE RAILROAD CROSSING LOCATED NEAR HIGHLAND AND 43<sup>RD</sup> AVENUES.

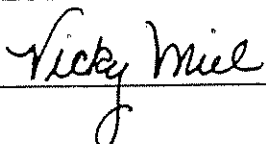
BE IT RESOLVED BY THE COUNCIL OF THE CITY OF PHOENIX as follows:

SECTION 1. The City Manager is authorized to enter into an intergovernmental agreement with the State of Arizona through the Arizona Department of Transportation for improvements to the railroad crossing located near Highland and 43<sup>rd</sup> Avenues.

PASSED by the Council of the City of Phoenix this 4th day of December, 2002.

  
MAYOR

ATTEST:

  
City Clerk



APPROVED AS TO FORM:

William Beck City ACTING  
Attorney  
DLB

REVIEWED BY:

Frank Laubach City Manager



STATE OF ARIZONA

OFFICE OF THE ATTORNEY GENERAL  
TRANSPORTATION SECTION

1275 WEST WASHINGTON STREET, PHOENIX, AZ 85007-2926

JANET NAPOLITANO  
ATTORNEY GENERAL

TRN Main: (602) 542-1680  
Direct: (602) 542-8855  
Fax: (602) 542-3646

MAIN PHONE : (602) 542-1680  
FACSIMILE : (602) 542-3646

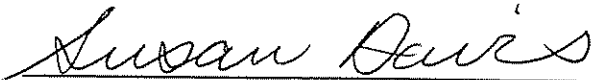
**INTERGOVERNMENTAL AGREEMENT**  
**DETERMINATION**

A.G. Contract No. KR01-1635TRN (JPA 01-106), an Agreement between public agencies, has been reviewed pursuant to A.R.S. § 11-952, as amended, by the Undersigned Assistant Attorney General who has determined that it is in the proper form and is within the powers and authority granted to the State of Arizona.

No opinion is expressed as to the authority of the remaining Parties, other than the State or its agencies, to enter into said Agreement.

DATED January 8, 2003.

JANET NAPOLITANO  
Attorney General

  
SUSAN E. DAVIS  
Assistant Attorney General  
Transportation Section

/srs

Att.